NHS

Mersey Internal Audit Agency

Internal Audit Update

Humberside Fire Authority

23rd October 2015

For information and discussion

MiAA

1. Introduction

This report provides a progress update in the delivery of the Internal Audit plan for 2015/16 as agreed by the Fire Authority on 16th March 2015.

2. Internal Audit Plan Progress

The Internal Audit Control Schedule is attached at **Appendix 1** which provides a strategic overview and profiled timeline for agreed Internal Audit reviews. This shows that the review of fees and charges is approaching completion along with our activity around change readiness which has consisted of 8 facilitated workshops with 113 staff across the service. The workshops (run in conjunction with Corporate Communications) were designed to gather and assess evidence around the effectiveness of change readiness through a series of voting exercises to test understanding and perceptions surrounding change management. The project management infrastructure is also being reviewed. The engagement arising from the workshops has been fruitful and the outcomes are informing the scoping of other audit assignments to ensure that our planned coverage is appropriately aligned with feedback we have received. The specifications for planned reviews in quarters 3 and 4, to be ratified through CMT prior to review commencement, will be cognizant of the workshop feedback.

Full reporting on all completed activity will continue to be delivered to the GAS Committee with only lower opinion reviews triggering full reporting to the Fire Authority. All audit assignments will be completed by the end of the financial year to allow for overall conclusions to be reflected in the Authority's Annual Governance Statement.

3. Recommendation

Humberside Fire Authority note internal audit progress and outcomes.

Internal Audit Control Schedule   2015/16

Review Title/Ref	Delivery Timescale				Date Issued	Opinion	Outcomes/Comments
	Q1	Q2	Q3	Q4			
Finance & Resources							
Combined Financial Systems *160600*				●			Review to cover: • Financial Ledger • Budgetary Control • Payroll and Pensions
Fees & Charges/Trading Arrangements *160601*		●					Review in progress and nearing completion
Procurement *160602*			●				Scoping to reflect outcomes of change readiness workshops
Performance							
Partnership Arrangements *160603*			●				Scoping to reflect outcomes of change readiness workshops
Operational Compliance							
IT Critical Applications *160604*				●			
Training *160605*			●				Scoping to reflect outcomes of change readiness workshops
Operational Review *160606*			●				Scoping to reflect outcomes of change readiness workshops
Governance, Risk & legality							
Change Readiness *160607*		●					Workshops complete. Review of project management infrastructure in progress.
Strategic							
Follow up *150608*	●	●	●	●			Ongoing